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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/964,678	09/28/2001	Suzanne De La Monte	0609.4370002	3649

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EXAMINER

WHITEMAN, BRIAN A

ART UNIT	PAPER NUMBER
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1635

DATE MAILED: 05/09/2002

12

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/964,678

Applicant(s)

MONTE ET AL.

Examiner

Brian Whiteman

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 9-28-02.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 7-9 and 14-16 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 7-9 and 14-16 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 22 January 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 9.
- 4) ☐ Interview Summary (PTO-413) Paper No(s) \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

## **DETAILED ACTION**

### **Non-Final Rejection**

Claims 7-9 and 14-16 are pending examination.

The preliminary amendment, paper no. 6A filed on 9/28/02 has been entered.

Claims 1-6, 10-13, and 17-34 have been cancelled.

### ***Priority***

An application in which the benefits of an earlier application are desired must contain a specific reference to the prior application(s) in the first sentence of the specification or in an application data sheet (37 CFR 1.78(a)(2) and (a)(5)).

### ***Claim Objections***

Claims 7-8 and 15 are objected because of the following informalities: several periods in each claim. Instead of Seq. ID No. 1, replace with SEQ ID NO: 1.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 7, 9, 14, and 16, as best understood, are readable on a genus of a transgenic non-human animal comprising a nucleotide sequence comprising at least 40% homology to SEQ ID NO: 1, wherein the genus of the transgenic animals are not claimed so that they could be envisioned by one skilled in the art at the time the invention was made are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the

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specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

The specification contemplates a genus of transgenic animals comprising a nucleotide sequence set forth in SEQ ID NO: 1 or a sequence, which is at least 40% homologous thereto. The starting material for making a transgenic animal is a nucleotide sequence set forth in SEQ ID NO: 1 or a sequence with at least 40% homology thereto. The specification provides sufficient description of SEQ ID NO: 1.

However, the as-filed specification does not provide an adequate written description of a representative number of species of nucleotides sequences comprising a DNA molecule with at least 40% homology with SEQ ID NO: 1. It is apparent from the state of the prior art exemplified by Ngo *et al.* (The Protein Folding Problem and Tertiary Structure Prediction, Birkhauser Boston, 1994, pp. 491-494) and Chiu *et al.* that the description of the primary sequence of amino acid residues in which the positions of the amino acid residues are particularly arranged is essential for the biological function of the protein encoded by the sequence. This essential element (starting material) that is required for an adequate description of a representative number of species as embraced by the claimed genus of a DNA molecule with at least 40% homology to SEQ ID NO: 1 is neither described sufficiently in the specification nor conventional in the prior art. A mere statement asserting that any sequence having at least 40% homology to SEQ ID NO: 1 without providing the essential and specific arrangement of the amino acid residues positioned in the sequence does not lend evidentiary support for a skilled artisan to have recognized that applicant was in possession of the genus of DNA molecules with at least 40% homology to SEQ ID NO: 1 as claimed, particularly since the essential element of

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the coding of a protein or variant thereof other than SEQ ID NO. 1 that is yet to be discovered, is lacking from the as-filed specification and since the skill and knowledge in the art is not adequate or conventional to determine the primary sequence of the representative number of species of SEQ ID NO: 1 (e.g. allelic variants, orthologs, 40% homology to SEQ ID NO: 1, etc.) encoded genes or nucleic acids on the basis of the only disclosure of SEQ ID NO: 1.

The specification contemplates a genus of transgenic animals comprising a nucleotide sequence set forth in SEQ ID NO: 1 or a sequence, which is at least 40% homologous thereto. The starting material for making a transgenic animal is a nucleotide sequence set forth in SEQ ID NO: 1 or a sequence with at least 40% homology thereto. The specification provides sufficient description of SEQ ID NO: 1, however, the specification does not provide sufficient description of any transgenic animal comprising a sequence with at least 40% homology to SEQ ID NO: 1 and its corresponding phenotype. Therefore, in view of the lack of sufficient description of the corresponding phenotype, one skilled in the art could not envision the phenotype of any transgenic animal comprising a sequence with at least 40% homology to SEQ ID NO: 1.

Furthermore, it is apparent that on the basis of applicant's disclosure, an adequate written description of the invention defined by the claims requires more than a mere statement that it is part of the invention and reference to potential methods and/or molecular structures of molecules that are essential for the genus of transgenic animals comprising a nucleotide sequence set forth in SEQ ID NO: 1 or a sequence with 40% homology thereto as claimed; what is required is the knowledge in the prior art and/or a description as to the availability of a representative number of species of biochemical or molecular structures of nucleotide sequences with 40% homology to

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SEQ ID NO: 1 that must exhibit the disclosed biological functions as contemplated by the claims.

It is not sufficient to support the present claimed invention directed to a genus of transgenic animals comprising a nucleotide sequence which is at least 40% homology to SEQ ID NO: 1 and any corresponding phenotype. The claimed invention as a whole is not adequately described if the claims require essential or critical elements, which are not adequately described in the specification and which is not conventional in the art as of applicant's effective filing date. Claiming unspecified transgenic animals comprising a nucleotide sequence with at least 40% homology to the nucleotide sequence set forth in SEQ ID NO: 1 that must possess the biological properties as contemplated by applicant's disclosure without defining what means will do so is not in compliance with the written description requirement. Rather, it is an attempt to preempt the future before it has arrived. (See *Fiers v. Revel*, 25 USPQ2d 1601 (CA FC 1993) and *Regents of the Univ. Calif. v. Eli Lilly & Co.*, 43 USPQ2d 1398 (CA FC, 1997)). Possession may be shown by actual reduction to practice, clear depiction of the invention in a detailed drawing, or by describing the invention with sufficient relevant identifying characteristics such that a person skilled in the art would recognize that the inventor had possession of the claimed invention. Pfaff v. Wells Electronics, Inc., 48 USPQ2d 1641, 1646 (1998). The skilled artisan cannot envision the detailed structure of a genus of transgenic animals comprising a nucleotide sequence which is at least 40% homology to SEQ ID NO: 1 or any phenotype that must exhibit the contemplated biological functions, and therefore, conception is not achieved until reduction to practice has occurred, regardless of the complexity or simplicity of the structures and/or methods disclosed in the as-filed specification. Thus, in view of the reasons set forth above, one skilled in the art at

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the time the invention was made would not have recognized that applicant was in possession of the claimed invention as presently claimed.

Claims 7-9 and 14-16 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

Factors to be considered in determining whether a disclosure would require undue experimentation have been summarized in In re Wands, 858 F.2d 731, 8USPQ2d 1400 (Fed. Cir. 1988). They include (1) the quantity of experimentation necessary, (2) the amount of direction or guidance presented, (3) the presence or absence of working examples, (4) the nature of the invention, (5) the state of the prior art, (6) the relative skill of those in the art, (7) the predictability or unpredictability of the art, and (8) the breadth of the claims.

Specifically, since the claimed invention is not supported by a sufficient written description (for possession of a genus of a transgenic animal comprising a nucleotide sequence comprising a DNA molecule which is at least 40% homologous to SEQ ID NO: 1 and its corresponding phenotype), particularly in view of the reasons set forth above, one skilled in the art would not have known how to use and make the claimed invention so that it would operate as intended, e.g. for use in a method for testing potential Alzheimer's Disease drugs.

Furthermore, with respect to the claimed invention, which is directed to the starting material set forth in SEQ ID NO: 1 or a DNA molecule which is at least 40% homologous thereto for use in a method for producing a transgenic non-human animal, which over-expresses SEQ ID NO: 1, the as-filed specification does not provide sufficient guidance for one skilled in the art to make and/or use any DNA molecule which is at least 40% homologous to SEQ ID NO: 1. The as-filed specification provides sufficient guidance of a nucleic acid sequence set forth in SEQ ID NO: 1. However, the as-filed specification does not provide sufficient guidance for how

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one skilled in the art would be enabled to reasonably correlate SEQ ID NO: 1 to a nucleic acid which is at least 40% homologous to SEQ ID NO: 1, since at the time the application was filed, predicting any protein tertiary structure based on a protein structure was considered to be unpredictable due to significant problems in several areas. The state of the art in 1998, exemplified by Chiu et al., *Folding and Design*, Vol. 3, pg. 223-228, May 1998, Chiu displays major consideration for predicting a protein tertiary structure involve issues that include:

Predicting the three-dimensional conformation of a correctly folded protein can be divided into two distinct steps: the construction of a fitness function to evaluate the various conformations: and the search through various possible conformations for the "best" prediction most likely to represent the native state. Neither part of this problem has proven particularly tractable. The development of a general method for the prediction of protein tertiary structure based on the protein sequence remains, unfortunately, one of the great-unsolved problems of computational biophysics (pg. 223).

Specifically, since the claimed invention is not supported by a sufficient description (for possessing a genus of a transgenic animal comprising a nucleotide sequence with 40% homology to SEQ ID NO: 1 as recited in the claims, particularly in view of the reasons set forth above and the breadth of the claims, one skilled in the art would not have known how to make and use the claimed invention so that it would operate as intended, e.g. a nucleic acid sequence with at least 40% identity to SEQ ID NO: 1 protein for use in a method of producing a transgenic animal that over-expresses SEQ ID NO: 1 or a nucleic acid sequence with at least 40% identity to SEQ ID NO: 1. This unpredictability of the relationship between sequences and function, albeit that certain specific sequences may be found to be conserved over sequences of related function upon a significant amount of further research. Altieri et al. (US Patent No. 6,245,523) teaches a nucleotide sequences with 74.9% homology to applicants' SEQ ID NO: 1 and the sequence has an opposite function from that of the SEQ ID NO: 1. Altieri teaches that the nucleotide



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sequence inhibits cellular apoptosis. Furthermore, Frangiskakis et al. (Cell, 1996, Vol. 86, abstract) teach a nucleotide sequence with 40.8% homology to SEQ ID NO: 1 that is a protein kinase (abstract). Since there are numerous nucleotide sequences with 40% homology to SEQ ID NO: 1 and the art of record displays nucleotide sequences with at least 40% homology to SEQ ID NO: 1 and they each possess a different function and that one skilled in the art would understand that altering amino acids in a sequence can change or destroy the desired function of the sequence, it would take one skilled in the art an undue amount of experimentation to reasonably determine what sequences with at least 40% homology to SEQ ID NO: 1 possess the desired function. Therefore, it would take one skilled in the art an undue amount of experimentation to reasonably extrapolate from SEQ ID NO. 1 to any sequence that has at least 40% homology to SEQ ID NO: 1 because of the unpredictability provided by the art of record.

Furthermore, even if the applicants are able to overcome the concerns for 112 written and enablement issues for a DNA molecule which is at least 40% homologous to SEQ ID NO: 1, there are still concerns with state of the art for producing transgenic animals with a desired phenotype since the art is considered unpredictable. The specification discusses that the invention features a genus of transgenic non-human animals, which over-expresses SEQ ID NO: 1 or a DNA molecule which is at least 40% homologous thereto and goes on to contemplate that there are techniques available for producing transgenic animals (page 20). The specification provides prior art pertaining to methods for generating transgenic mammals using fertilized eggs and pro-nuclei injection (page 20). The specification requires that the starting material, which is a nucleic acid set forth in SEQ ID NO. 1 or a DNA molecule which is at least 40% homologous thereto, be used in a method of making a transgenic non-human animal comprising over-

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expressing SEQ ID NO: 1 or a sequence with 40% homology thereto. The specification contemplates that the transgenic animals can be used in a method for identifying compounds that could be potential useful for the treatment or prevention of Alzheimer's disease (AD) (page 21). In addition, the specification states that SEQ ID NO: 1 is observed in patients with (AD). However, the as-filed specification does not provide sufficient guidance or factual evidence for any transgenic animal expressing a nucleotide sequence encoding SEQ ID NO: 1 or a DNA molecule, which is at least 40% homologous thereto, and any corresponding phenotype.

It is further to note that the as-filed specification only contemplates the use of embryonic stem (ES) cell technology or using pro-nuclear injection for the generation of transgenic mammals for used in the claimed invention. See page 20 of the specification. The state of the art at the time application was filed for producing transgenic animals using pro-nuclear injection was considered unpredictable as exemplified by Polejaeva et al. *Theriogenology*, Vol. 53, pages 117-126, 2000, Polejaeva states:

Transgenic animals can be successfully produced in a number of species including mice, rabbits, pigs, sheep cattle, and goats by the injection of the gene of interest into the pro-nucleus of a zygote. However, this technique suffers from several serious limitations. The most profound is that DNA can only be added, not deleted, or modified in situ. Also, the integration of foreign DNA is random; this could lead to erratic transgene expression due to the effects at the site of incorporation. In addition, with random integration the possibility exists for the disruption of essential endogenous DNA sequences or activation of cellular oncogenes, both of which would have deleterious effects on the animal's health. Finally, transgenic animals generated using pro-nuclear microinjection are commonly mosaic, i.e., an integrated transgene is not present in all cells. Therefore, the production of the required phenotype coupled to germ line transmission could undue experimentation. See page 119.

In addition, the prior art and post-filing art replete with references, which indicate that ES technology, is generally limited to the mouse system, at present and that only "putative" ES cells exist for other species. See Rulicke et al. (*Experimental Physiology*, Vol. 85, 2000, page 2092),

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who supports this observation. Rulicke et al. disclose, "The ES cell technique, although of great interest in other model organisms and in livestock species, has been successfully used only in mouse so far." Furthermore, the state of the art for chromosomal insertion of DNA into a genetically modified animal as exemplified by Bishop (Reprod. Nutr. Dev, 1998, Vol. 36, pages 607-618) teaches that:

The preferred route to an altered genome is recombination between a transgene and homologous resident DNA in totipotent ES cells followed by introduction of the engineered cells into the inner cell mass of host blastocysts and germline transmission from the resulting chimera. To date, this approach is available only in mice, because despite a considerable effort, ES cell lines with suitable properties have not been established in other species. See page 608.

As the claims encompass a transgenic non-human animal comprising modified ES cells by using any technology, and the as-filed specification fails to teach the establishment of true ES cells for use in the production of any transgenic mammal, the state of the art supports that only mouse ES cells were enabled for used in the production of transgenic mice. In view of the concerns set forth by the state of the art, the examples do not reasonably address the concerns put forth by the state of the art encompassing any method for producing transgenic mammals for use in over-expressing SEQ ID NO: 1 or a sequence with 40% homology to SEQ ID NO: 1. In view of these factors and the concerns listed above, it is not apparent to one skilled in the art how to reasonably extrapolate from the specification and the prior art to any transgenic animal over-expressing SEQ ID NO: 1 or a sequence with 40% homology thereto. In addition, in view of the concerns stated above encompassing microinjection and random integration into a mammal's genome it would take one skilled in the art an undue amount of experimentation to reasonably extrapolate from random integration to determining if a DNA sequence set forth in SEQ ID NO: 1 is inserted at

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the correct site and is expressed at a level sufficient enough to produce a phenotype in any transgenic non-human animal.

In addition, the disclosure fails to provide any relevant teachings or sufficient guidance with regards to the production of any transgenic animals comprising a transgenic sequence encoding SEQ ID NO: 1 or a sequence with 40% homology thereto, which over-expresses the transgenic sequence such that a phenotype occurs. Furthermore, the as-filed specification fails to describe any particular phenotype exhibited by any contemplated transgenic animal of the invention. Thus, as enablement requires the specification to teach how to make and/or use the claimed invention, the specification fails to enable the production of any transgenic mammal over-expressing SEQ ID NO: 1 or a sequence with 40% homology thereto.

[Note that although the claimed transgenic mammal is not limited to expression of the protein at a level resulting in a specific phenotype, with regard to the claims breadth, the standard under 35 U.S.C. 112, first paragraph, entails the determination of what claims recite and what the claims mean as a whole. In addition, when analyzing the enabled scope of the claims, the teachings of the specification are to be taken into account because the claims are to be given their broadest reasonable interpretation that is consistent with the specification. As such, the broadest interpretation of the claimed transgenic mammal having cells, which harbor a recombinant nucleic acid that expresses the protein at a level sufficient to result in a specific phenotype (i.e., it is unknown what other purpose the transgenic mammal would serve if the transgene (e.g. SEQ ID NO: 1 or a sequence with 40% homology thereto) is not expressed at a sufficient level for a resulting phenotype).]

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As the specification fails to provide any relevant teachings or sufficient guidance with regard to the production of a representative number of transgenic non-human mammals as claimed, one skilled in the art would not be able to rely on the state of the art for an attempt to produce any transgenic animals. This is because of the art of transgenic is not predictable art with respect to transgene behavior and the resulting phenotype. While the state of the art of transgenics is such that one of skill in the art would be able to produce transgenic animal comprising a transgene of interest (e.g. SEQ ID NO: 1 or a sequence with 40% homology thereto); it is not predictable if the transgene would be expressed at a level and specificity sufficient to cause a particular phenotype. For example, the level and specificity of expression of a transgene (e.g. SEQ ID NO: 1 or a sequence with 40% homology thereto) as well as the resulting phenotype of the transgenic mammal are directly dependent on the specific transgene construct. The individual gene of interest, coding, or non-coding sequences present in the transgene construct, the specificity of transgene integration into the genome, for example, are all important factors in controlling the expression of a transgene in the production of genetically modified animals, which exhibit a particular phenotype. This observation is supported by Wall (Theriogenology, 1996) who states "Our understanding of essential genetic control elements makes it difficult to design transgenes with predictable behavior." See page 61, last paragraph. See also Houdebine (Journal of Biotechnology, 1997) who discloses that in the field of transgenics, constructs must be designed case by case without general rules to obtain good expression of a transgene (page 275, column 1, 1<sup>st</sup> paragraph); e.g. specific promoters, presence or absence of introns, etc. The specification does not provide sufficient guidance, and it fails to feature any reasonable correlation between producing transgenic animal using microinjection of

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transgene into germ line and producing a transgenic animal which comprises a transgenic sequence encoding SEQ ID NO: 1 or a sequence with 40% homology thereto and which over-expresses the protein in the transgenic mammal, and, thus, a specific resulting phenotype.

Furthermore, without evidence to the contrary, transgene expression in different species of transgenic non-human animals is not predictable and varies according to the particular host species, and specific promoter/gene combination(s). This observation is supported by Mullins et al. (Journal of Clinical Investigations, 1996) who report on transgenesis in the rat and larger mammals. Mullins states that "a given construct may react very differently from one species to another." See page S39, Summary. Wall et al. report "transgene expression and the physiological consequences of transgene in animals are not always predicted in transgenic mouse studies." See page 62, first paragraph. Strojek and Wagner (Genetic Engineering, 1988) pointed out that a high degree of expression of a transgene in a mouse is often not predictive of high expression in other species, because, for example, the cis-acting elements may interact with different trans-acting factors in these other species (paragraph bridging pages 239-239). Given such species differences in the expression of a transgene, particularly when taken with the lack of guidance in the specification for the production of a representative number of transgenic animal that over-expresses SEQ ID NO: 1 or a sequence with 40% homology thereto, it would require an undue amount of experimentation to reasonably predict the results achieved in any transgenic mammal comprising a transgenic sequence set forth in SEQ ID NO: 1 or a sequence with 40% homology thereto and which over-expresses the protein in the transgenic animal at the levels of the claimed product, the consequences of that production, and therefore, the resulting phenotype.

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Thus, in view of the In re Wands' Factors, the disclosure is not enabled for the claimed invention because in view of the undue quantity of experimentation necessary to determine the parameters listed above for the starting material, the lack of direction or sufficient guidance provided by the as-filed specification for the production of any transgenic non-human animal with a particular phenotype. Furthermore, the lack of working examples for the demonstration or the reasonable correlation to the production of any transgenic mammal, in particular when the expression of the SEQ ID NO: 1 must occur at a level resulting in a corresponding phenotype, the unpredictable state of the art with respect to the transgene behavior in transgenic non-human animals of any species, and the breadth of the claims drawn to any transgenic non-human animal, it would require an undue amount of experimentation for one skilled in the art to make and/or use the claimed invention.

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kay Pinkney whose telephone number is (703) 305-3553.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian Whiteman whose telephone number is (703) 305-0775. The examiner can normally be reached on Monday through Friday from 7:00 to 4:00 (Eastern Standard Time), with alternating Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's mentor, primary examiner, Dave Nguyen can be reached at (703) 305-2024.


If attempts to reach the primary examiner by telephone are unsuccessful, the examiner's supervisor, John L. LeGuyader, SPE - Art Unit 1635, can be reached at (703) 308-0447.

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Papers related to this application may be submitted to Group 1600 by facsimile transmission. Papers should be faxed to Group 1600 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Fax Center number is (703) 308-7939.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0196.

Brian Whiteman  
Patent Examiner, Group 1635  
5/3/02

  
DAVE T. NGUYEN  
PRIMARY EXAMINER